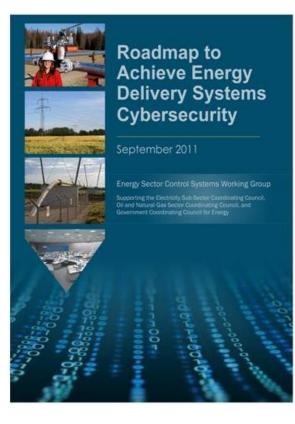
Cybersecurity for Energy Delivery Systems (CEDS) R&D

Following the Energy Sector's Roadmap

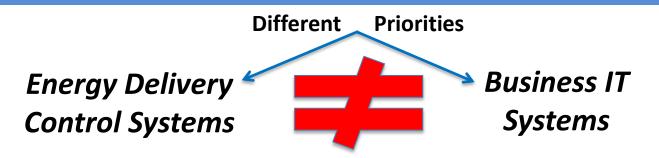


Carol Hawk CEDS R&D Program Manager



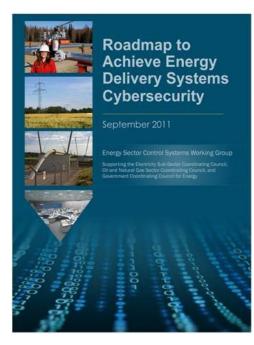
Electricity Delivery & Energy Reliability

Energy Sector Cybersecurity



- Energy delivery control systems (EDS) must be able to survive a cyber incident while sustaining critical functions
- Power systems must operate 24/7 with high reliability and high availability, no down time for patching/upgrades
- The modern grid contains a mixture of legacy and modernized components and controls
- EDS components may not have enough computing resources (e.g., memory, CPU, communication bandwidth) to support the addition of cybersecurity capabilities that are not tailored to the energy delivery system operational environment
- EDS components are widely dispersed over wide geographical regions, and located in publicly accessible areas where they are subject to physical tampering
- Real-time operations are imperative, latency is unacceptable
- Real-time emergency response capability is mandatory

Roadmap – Framework for Collaboration



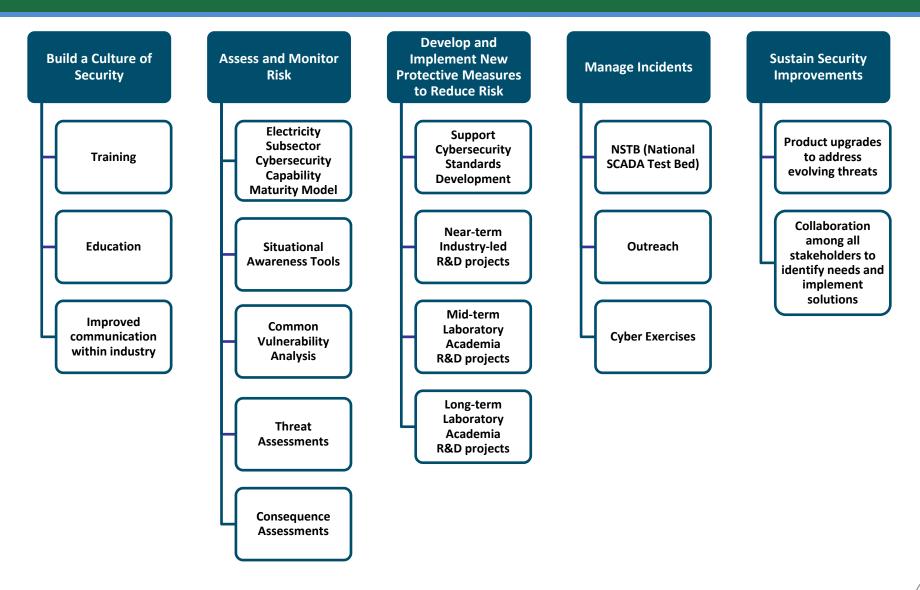
- *Energy Sector's* synthesis of energy delivery systems security challenges, R&D needs, and implementation milestones
- Provides strategic framework to
 - align activities to sector needs
 - coordinate public and private programs
 - stimulate investments in energy delivery systems security

Roadmap Vision

By 2020, resilient energy delivery systems are designed, installed, operated, and maintained to survive a cyber incident while sustaining critical functions.

For more information go to: <u>www.controlsystemsroadmap.net</u>

DOE Activities Align with the Roadmap



CEDS provides *Federal funding* to:

- National Laboratories
- Academia
- Solution providers

To accelerate cybersecurity investment and adoption of resilient energy delivery systems

	1. Build a Culture of Security	2. Assess and Monitor Risk	3. Develop and Implement New Protective Measures	4. Manage Incidents	5. Sustain Security Improvements
Near-term (0–3 yrs)	 Executive engagement and support of cyber resilience efforts Industry-driven safe code development and software assurance awareness workforce training campaign launched 	2.1 Common terms and measures specific to each energy subsector available for baselining security posture in operational settings	3.1 Capabilities to evaluate the robustness and survivability of new platforms, systems, networks, architectures, policies, and other system changes commercially available	 4.1 Tools to identify cyber events across all levels of energy delivery system networks commercially available 4.2 Tools to support and implement cyber attack response decision making for the human operator commercially available 	 5.1 Cyber threats, vulnerability, mitigation strategies, and incidents timely shared among appropriate sector stakeholders 5.2 Federal and state incentives available to accelerate investment in resilient energy delivery systems
Mid-term (4-7 years)	 Vendor systems and components using sophisticated secure coding and software assurance practices widely available Field-proven best practices for energy delivery systems security widely employed Compelling business case developed for investment in energy delivery systems security 	2.2 Majority of asset owners baselining their security posture using energy subsector specific metrics	 3.2 Scalable access control for all energy delivery system devices available 3.3 Next-generation, interoperable, and upgradeable solutions for secure serial and routable communications between devices at all levels of energy delivery system networks implemented 	 4.3 Incident reporting guidelines accepted and implemented by each energy subsector 4.4 Real-time forensics capabilities commercially available 4.5 Cyber event detection tools that evolve with the dynamic threat landscape commercially available 	 5.3 Collaborative environments, mechanisms, and resources available for connecting security and operations researchers, vendors, and asset owners 5.4 Federally funded partnerships and organizations focused on energy sector cybersecurity become self-sustaining
Long-term (8-10 years)	1.6 Significant increase in the number of workers skilled in energy delivery, information systems, and cybersecurity employed by industry	2.3 Tools for real-time security state monitoring and risk assessment of all energy delivery system architecture levels and across cyber- physical domains commercially available	 3.4 Self-configuring energy delivery system network architectures widely available 3.5 Capabilities that enable security solutions to continue operation during a cyber attack available as upgrades and built-in to new security solutions 3.6 Next-generation, interoperable, and upgradeable solutions for secure wireless communications between devices at all levels of energy delivery system networks implemented 	 4.6 Lessons learned from cyber incidents shared and implemented throughout the energy sector 4.7 Capabilities for automated response to cyber incidents, including best practices for implementing these capabilities available 	 5.5 Private sector investment surpasses Federal investment in developing cybersecurity solutions for energy delivery systems 5.6 Mature, proactive processes to rapidly share threat, vulnerabilities, and mitigation strategies are implemented throughout the energy sector

CEDS Program Structure



The CEDS program emphasizes collaboration among the government, industry, universities, national laboratories, and end users to advance research and development in cybersecurity that is tailored to the unique performance requirements, design and operational environment of energy delivery systems. The aim of the program is to reduce the risk of energy disruptions due to cyber incidents as well as survive an intentional cyber assault with no loss of critical function. This program has resulted in increased security of energy delivery systems around the country.

Collaboration Transitions R&D to Practice

Prototype Development Commercial prototype and open source configuration profile for interoperable secure routable energy sector communications EnerNex Corporation, Sandia National Laboratories, Schweitzer Engineering Laboratories, Tennessee Valley Authority, 7 Network Security Vendors

Applied Research

Open Process Control System (PCS) Security Architecture for Interoperable Design, known as OPSAID provides vendors of supervisory control and data acquisition/energy management systems (SCADA/EMS) with the capability to retrofit secure communications for legacy devices, and to design-in interoperable security for future energy delivery control systems **Sandia National Laboratories**

Field Demonstration

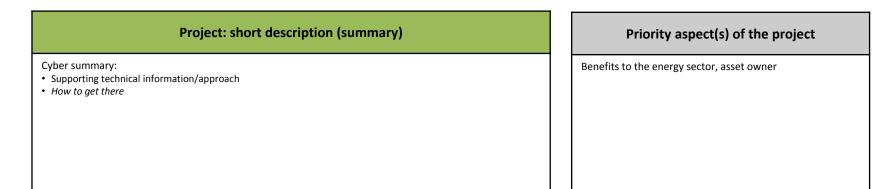
Lemnos has become a broad industry partnership for secure, interoperable communications Increasing numbers of energy delivery system vendors have demonstrated Lemnos, today at least ten

CEDS projects engage national labs, vendors, asset owners, and academia throughout the project lifecycle to deliver relevant projects with clear commercialization paths.

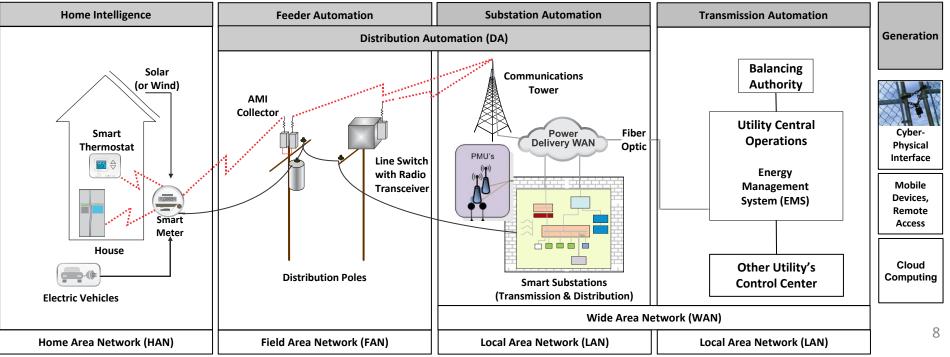
Open Source Solution

Broad energy sector partnership uses Lemnos interoperable, secure routable energy sector communications <u>Commercial Product</u>

Schweitzer Engineering Laboratories Ethernet Security Gateway SEL-3620 implements Lemnos



Addresses Roadmap Milestones: (milestone numbers from slide 5)





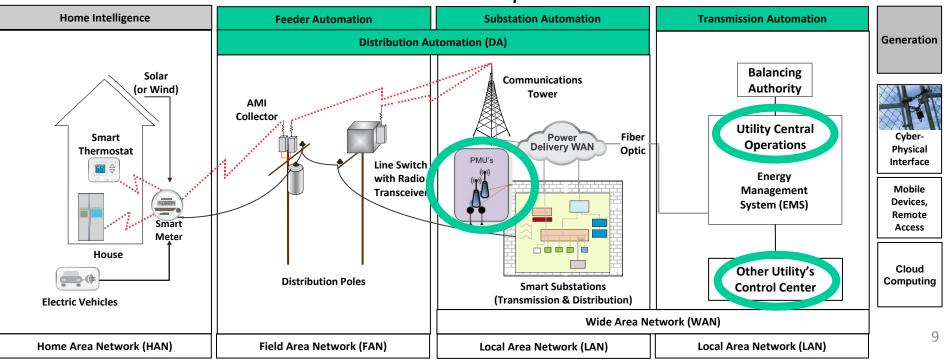


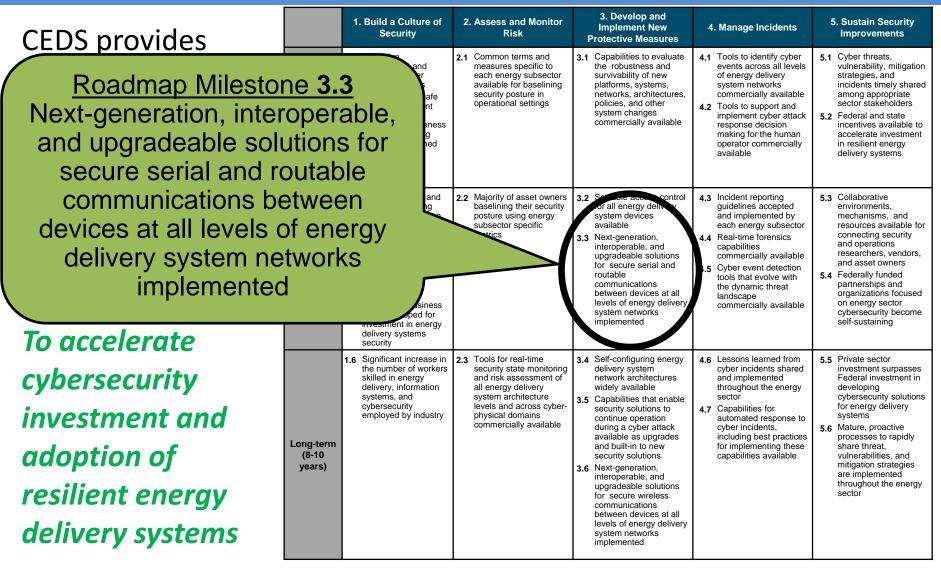


Secure Information Exchange Gateway (SIEGate)

Flexible, real-time, reliable and secure information exchange for electric grid operations	Builds upon the open source phasor gateway	Current status/Project successes
 Consolidates data exchange to reduce the external attack surface and costs of maintaining multiple data exchange systems Provides a high-performance, low latency solution to securing data communication between control centers 	 Provides a gateway for sharing increasingly high volumes of high-frequency, real-time data, such as phasor data, in a secure and timely manner with significantly greater functionality and cybersecurity capabilities Reduces cost and management overhead by maintaining and managing a single gateway 	 Pre-production version installed at several utilities Demonstrated capability to transfer large data streams between two major utilities

Addresses Roadmap Milestone: 3.3





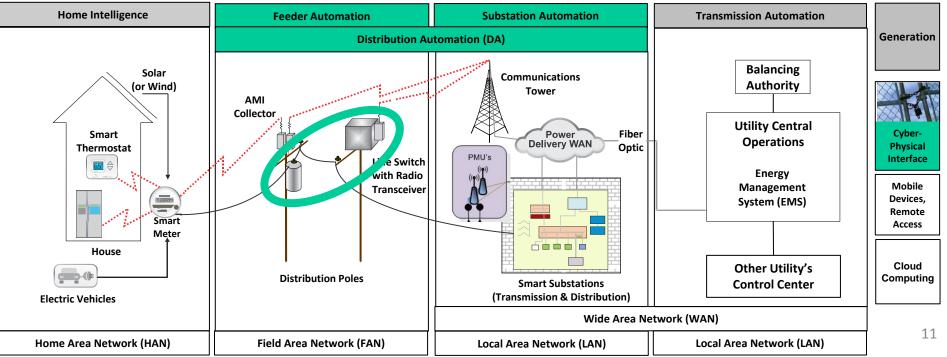


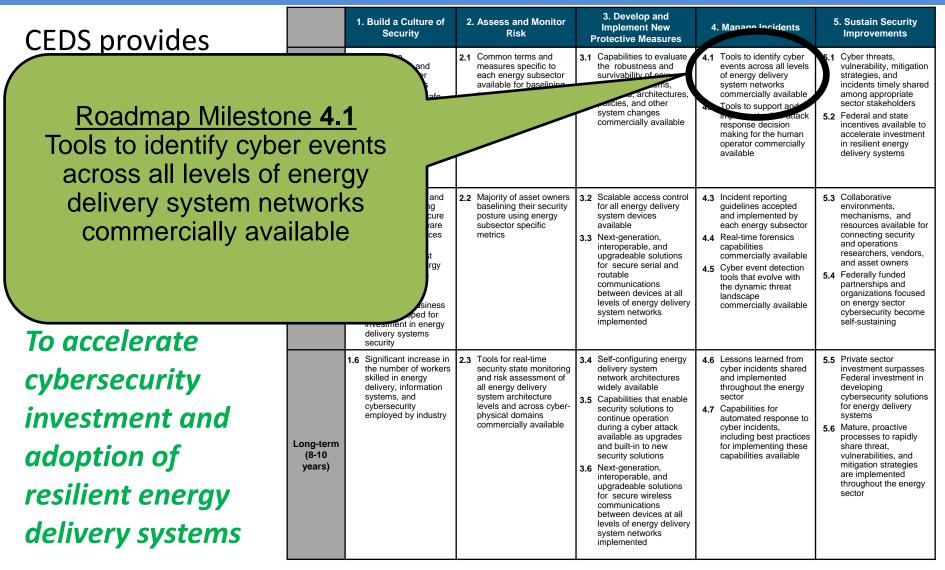


Padlock Security Gateway

Greater situational awareness and incident response capabilities for field devices	Bridges cyber and physical security	Current status/Project successes
 Low power, low cost gateway with strong access control and password management Enhances cybersecurity of distribution automation system and communication field devices Builds upon Lemnos interoperability configuration profiles 	 Senses physical tampering to field devices and takes cyber actions to prevent escalation of intrusions Monitoring capabilities and near real-time logging of cyber and physical events 	 Accelerated release of hardware with security gateway features in 2012 due to consumer demand Thousands sold and protecting the Nation's energy infrastructure today Sensing and notification of physical tampering firmware update coming in 2014

Addresses Roadmap Milestone: 3.2, 3.3, 4.1





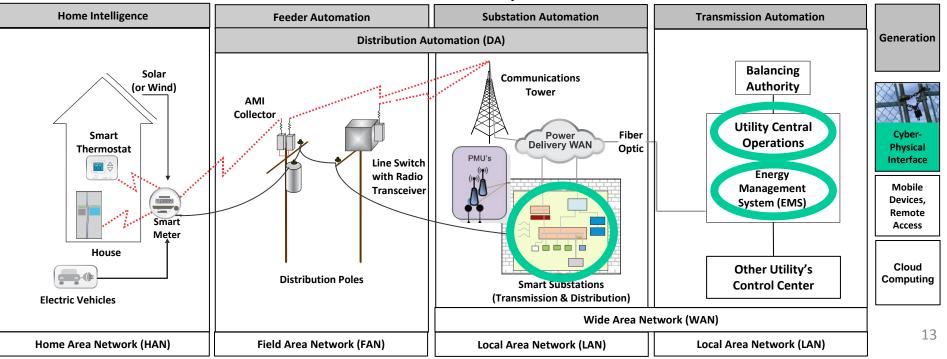


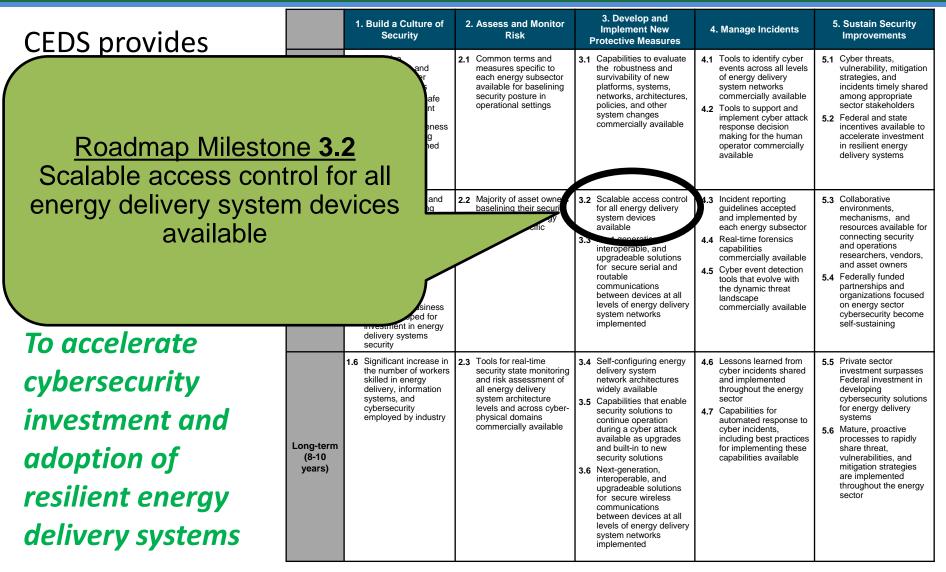




Alliance Projects

Unified central control of both cyber and physical access to energy sector buildings and	Single, unified cyber-physical trust management	Tailored trust: protect cyber-physical systems with	
cyber assets	 Unifies trust, logging and log management for physical and cyber access which facilitates compliance and incident response 	physical-cybersecurity	
 Proximity card reader and controller that integrates with existing Lemnos and Padlock cybersecurity system and unifies trust management, logging and administrative activities for both physical and cyber security Uses Active Directory for system wide physical and cyber access for immediate change control and ease of administration Will implement Alliance in Lemnos conforming Secure Ethernet Gateway (SEL-3620) and Padlock (SEL-3622) 	 Enables operators to have better awareness of the system state; and the audit trail of who's where, when and what they are doing becomes clear with no additional administrative overhead Scale principle of least privileges to physical-cyber systems Lowers cost, simplifies training, eases and enhances reliability of access control administration The 2nd factor used in two-factor authentication for physical access is the same as cyber access, like your RSA token 	 Physical security that is aware of cybersecurity Cybersecurity that is aware of physical security High fidelity of cyber-physical access control – down to rack level 	
Addresses Roadmap Milestones: 3.2			



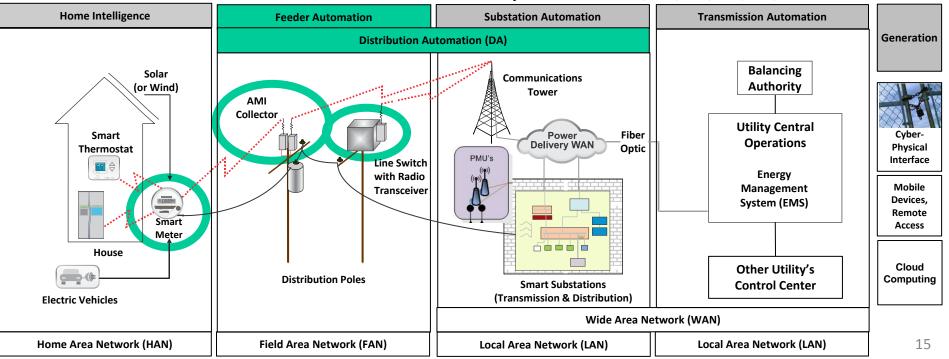


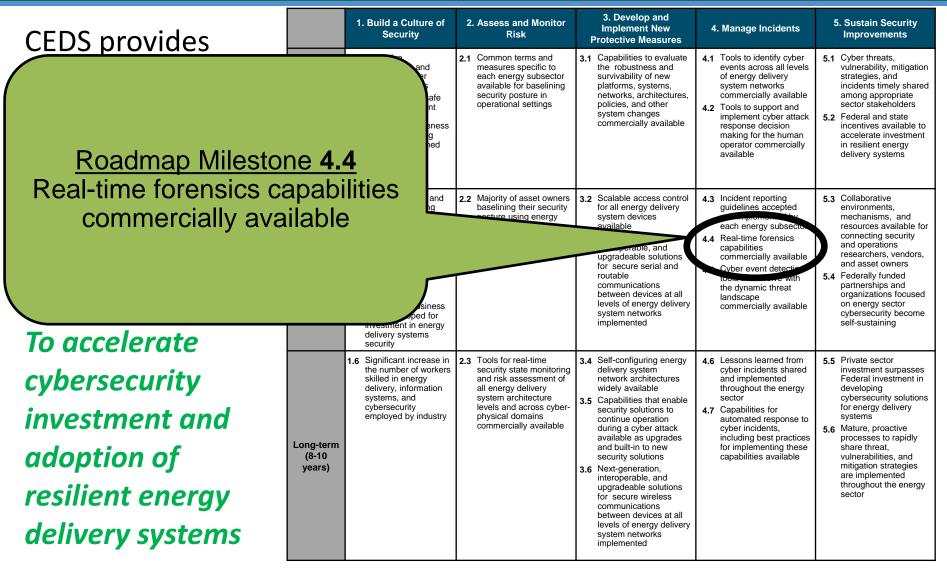


Cybersecurity Intrusion Detection and Monitoring for Field Area Networks

Smart Meter and Distribution Automation	Visualize and analyze Smart Meter and	Real-time Smart Meter and Distribution
wireless communications security	Distribution Automation wireless communications	Automation anomaly and intrusion detection
 Anomaly and intrusion detection for advanced metering infrastructure and Distribution Automation wireless mesh networks Improves situational awareness , helps validate over- the-air security controls, mitigates supply chain cyber threats R&D advanced cyber intrusion detection analytics in the ACS SecureSmart managed security service for energy utilities 	 Visibility beyond the wireless gateway into the self-forming mesh network Traffic analysis to characterize expected behavior of Smart Meter and Distribution Automation wireless mesh network traffic Traffic modeling, health indicators and operational baselines to detect departure from expected behavior Real-time monitoring of traffic flows and mesh network performance 	 Develop traffic analysis techniques to detect anomalies and malicious activity in Smart Meter and Distribution Automation wireless communications Abstract operationally meaningful network and node behavior from traffic analysis Find out how vulnerabilities in embedded hardware, firmware and software manifest in traffic analysis to detect exploitation attempts

Addresses Roadmap Milestones: 2.3, 3.6, 4.1, 4.2, 4.4, 4.5





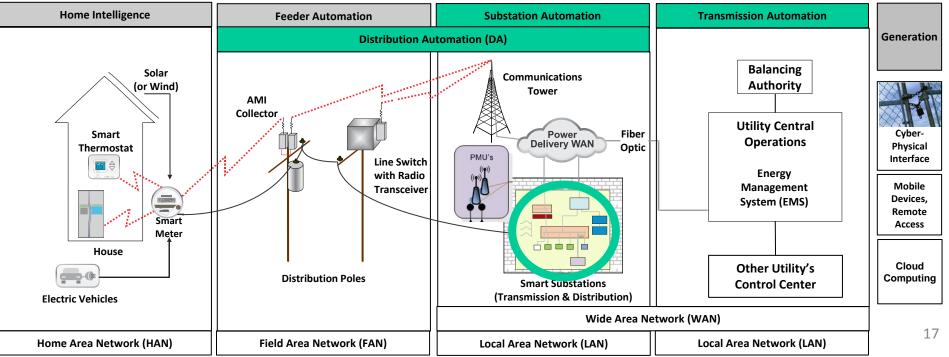


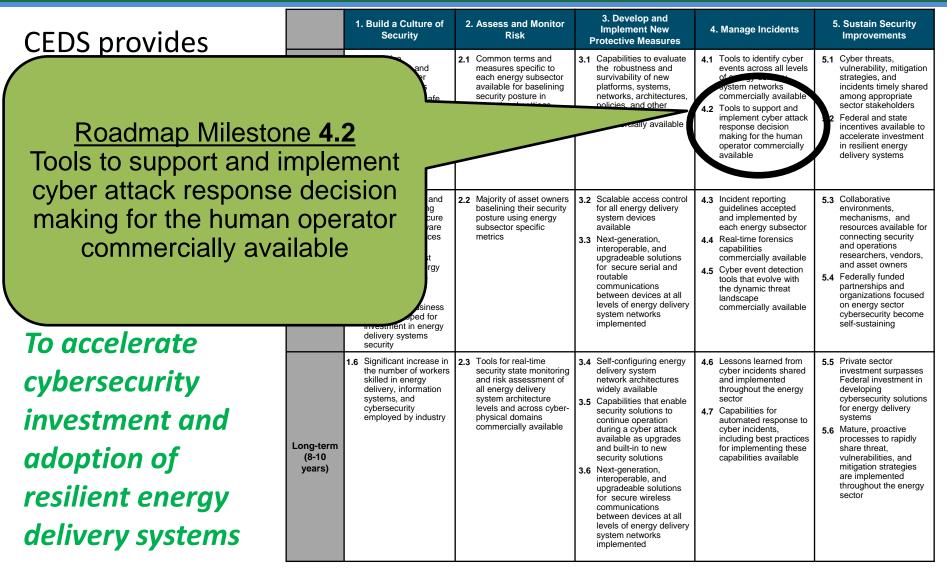


Collaborative Defense of Transmission and Distribution Protection and Control Devices Against Cyber Attacks

Don't allow cyber activity that could jeopardize grid operations	Real-time cybersecurity that is aware of power grid operations	Power grid devices work together to validate commands
 Protection and control devices, between and within substations, reach collaborative consensus to verify that a received input makes sense in the current operational state of the power grid R&D IEC 61850 distributed security extensions for collaborative defense, encourage vendor-neutral adoption and offer in firmware of ABB protection and control devices 	 Detect malicious commands, even those that comply with expected syntax, protocol and device function, that if acted on could jeopardize power grid operations Detect insider attacks, spoofed power system data, malicious commands or configuration set points by anticipating their effect on power grid operations Block incorrect device function and report compromised device 	 IEC 61850 distributed security extensions enable protection and control relays to collaboratively validate that inputs, configuration changes or power system data, make sense for reliable grid operations Fast, inter-device cross-checking framework completes collaborative validation as fast as the response time of the protection device so as to not impede protection and control function

Addresses Roadmap Milestones: 2.3, 3.5, 4.1, 4.2, 4.5, 4.7





Georgialnstitute of Technology

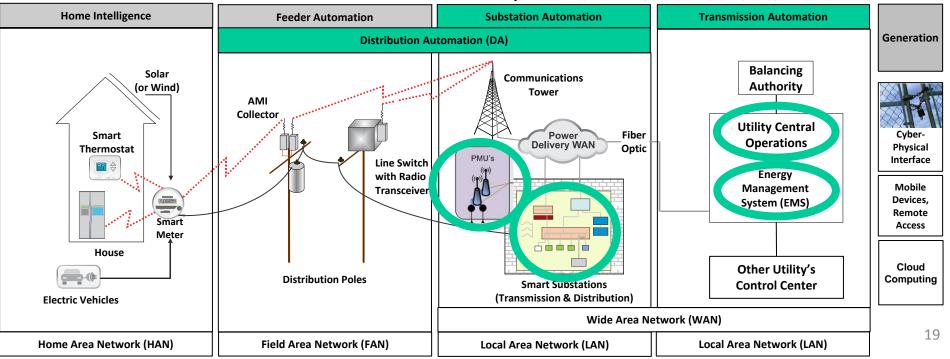
Partners

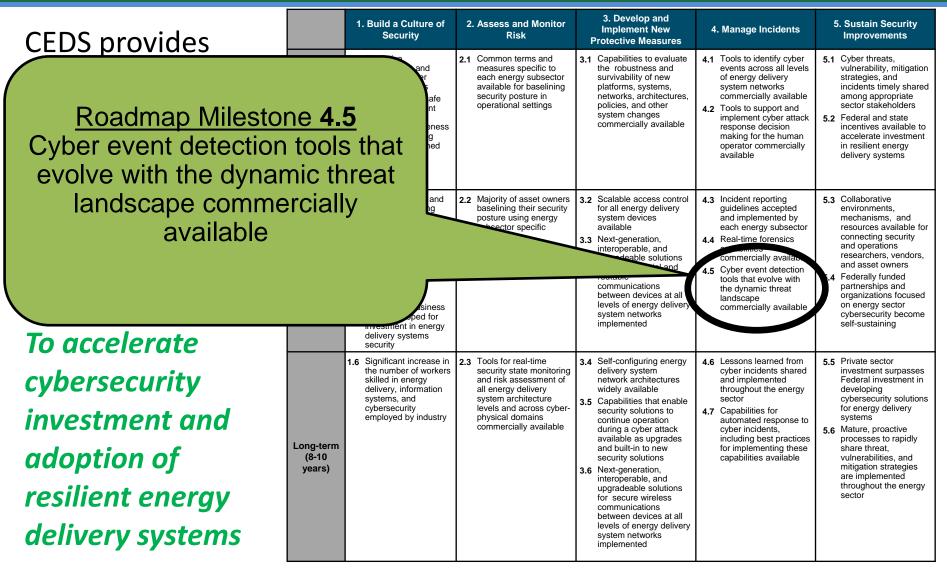


Cyber-Physical Modeling and Simulation for Situational Awareness (CYMSA)

Predict in real-time how a cyber attack might disrupt energy delivery, and dynamically protect	Real-time cybersecurity awareness for power grid operations	Cyber-physical contingency analysis
 Faster than real-time simultaneously simulate physical power grid operations and cyber control systems Predict vulnerable cyber-physical states with substation-level distributed state estimation Generate dynamic protective rules at the local substation-level and global central control system-level Communicate protective rules to security sensors at the substation and central control system levels to evaluate cyber control messages in a dynamic security context 	 Cyber intrusion detection and prevention that dynamically evolves with power grid operations Identification of cyber control actions that could alter power system components outside of dynamically varying allowed ranges Detection of malicious activity that plays by the rules, using allowed cyber activity, but in the wrong operational context 	 Cyber-physical security state estimation for intrusion detection, control command validation, and control command assessment in terms of the cyber control layer and power grid operations Must be faster than control speed actions to not impede energy delivery control functions

Addresses Roadmap Milestones: 2.3, 3.4, 3.5, 4.1, 4.2, 4.5



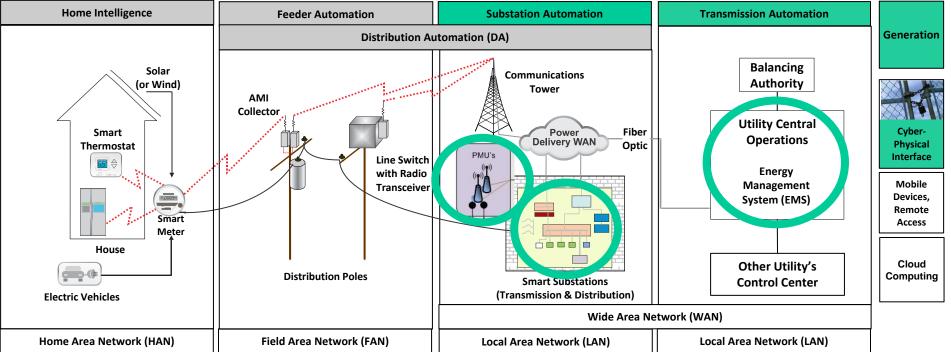


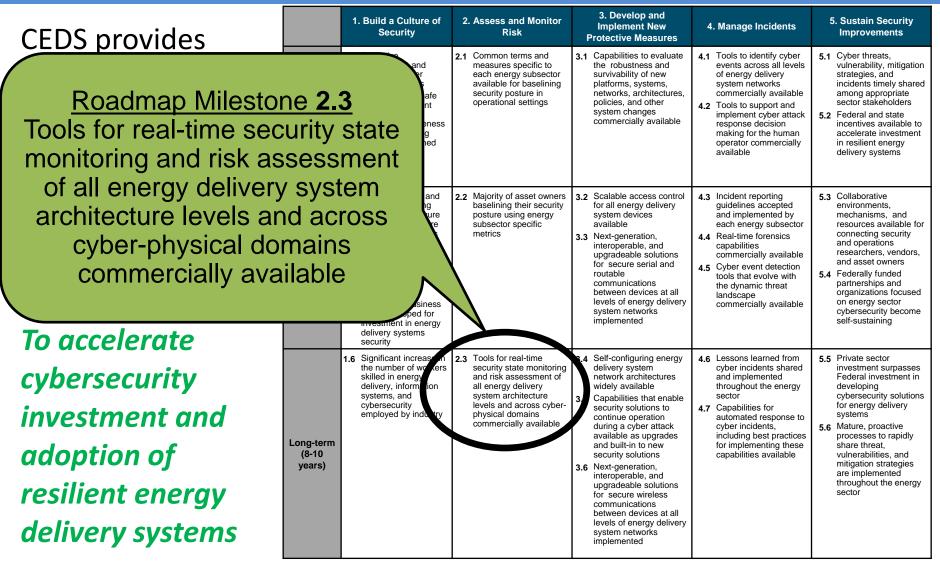


A Resilient Self-Healing Cyber Security Framework for the Power Grid

Attack-resilient Wide-Area Monitoring, Protection, and Control (WAMPAC) framework	Mitigation and prevention of cyber attacks	Comprehensive anomaly detection, control, and resilience methods
 Development of a self-healing Phasor Measurement Unit (PMU) network infrastructure through a risk mitigation model Development of bad data detection and attack-resiliency methods for the State Estimation (SE) algorithm Development of anomaly detection and attack-resilient control methods 	 PMU risk mitigation model employs optimal response to cyber-attacks with the goal of preventing the propagation of the attacks and maintaining the observability of the power system SE algorithm encompasses stealthy attack vector formulations, attack impact analysis, and Moving Target Defense (MTD) strategies to mitigate sophisticated cyber-attacks 	 Model-based control algorithms leveraging Cyber Physical System (CPS) properties Wide-area protection schemes that include the design of a hierarchical model-based MTD- inspired protection algorithm leveraging spatial- temporal properties of device/system operation Model-based anomaly detection methods for the Optimal Power Flow (OPF) algorithm though the use of Principal Component Analysis (PCA)

Addresses Roadmap Milestones: 2.3, 3.4, 3.5, 4.5



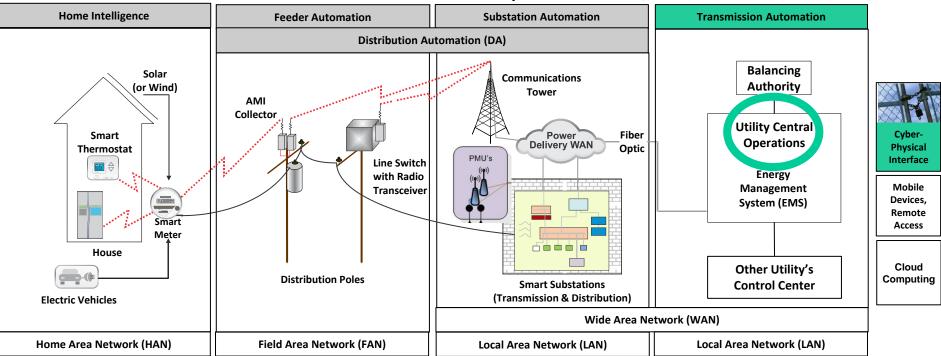


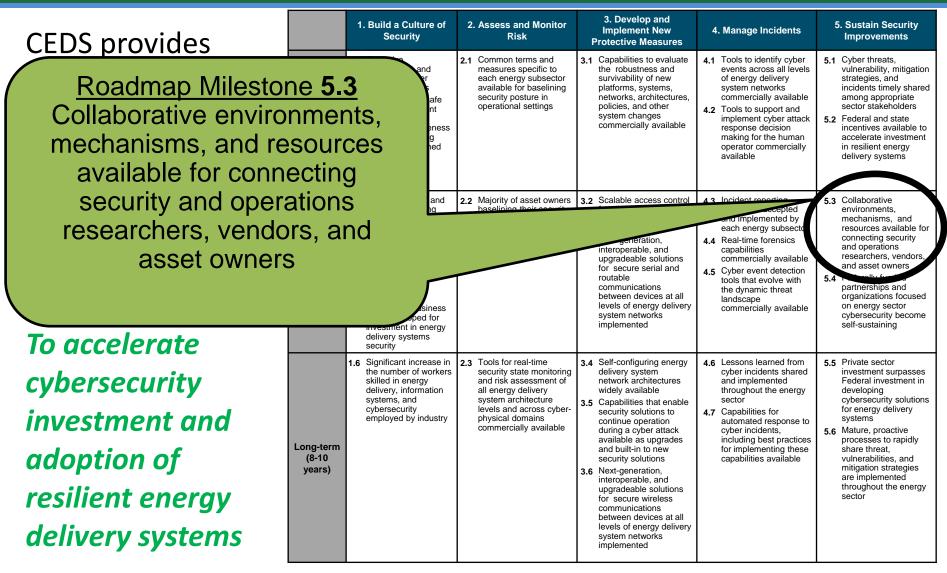


Enabling Situation Assessment/Awareness for Utility Operators and Cybersecurity Professionals

Visualizations for efficient situational awareness and assessment	Visualization and decision support tools for faster response	Extensible and integrated approach
 Employ cognitive systems engineering methods to gain understanding of the work and data/information needs of cybersecurity professionals at utilities Integrate the necessary data and information from various systems that are relevant to a particular context Develop tools that present the information to operators in an intuitive and efficient fashion 	 Reduces the cognitive demand on operators to enable enhanced, real-time awareness and problem solving capabilities Enables more effective coping with the increasing complexity of grid and cybersecurity operations Mitigates the impact of data overload on operator decision making by allowing operators to quickly focus attention on relevant contextual information 	 Allows other sources/inputs to be adapted based on local sources available Possibility for research results to be widely used by utilities, regardless of the vendors chosen in their existing systems Incorporates smart grid-wide set of inputs (e.g., communications, cybersecurity, power system) that may allow business processes to be fine- tuned to improve response time during incidents

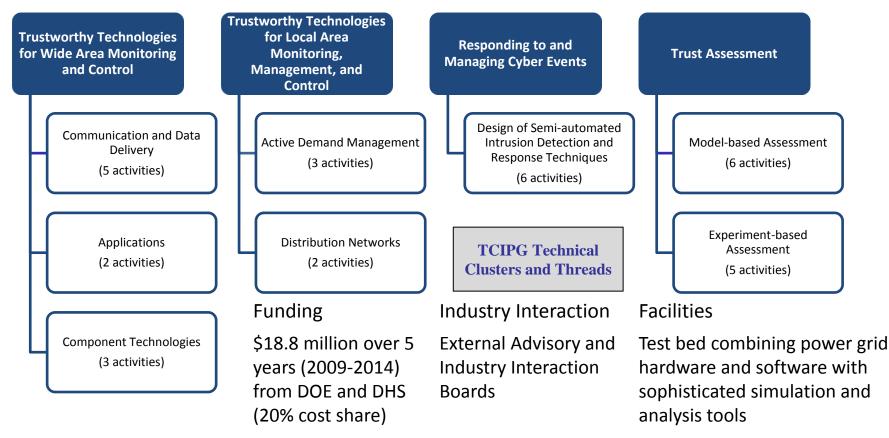
Addresses Roadmap Milestones: 2.3, 5.3





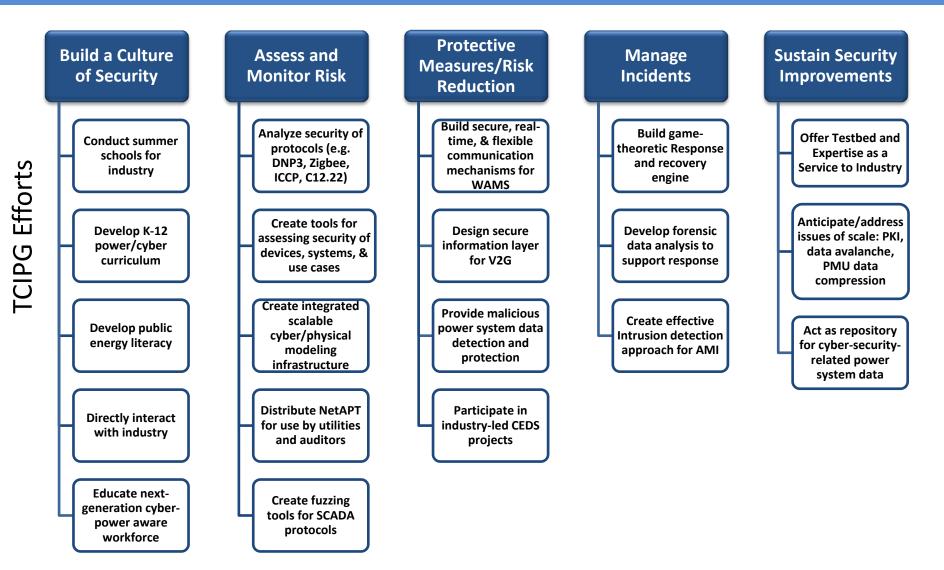
Trustworthy Cyber Infrastructure for the Power Grid (TCIPG, University-Led Collaboration; www.tcipg.org)

Vision: Architecture for End-to-End Resilient, Trustworthy & Realtime Power Grid Cyber Infrastructure



University of Illinois • Cornell • Dartmouth College • University California at Davis • Washington State University

TCIPG Impacts all aspects of the 2011 Roadmap to Achieve Energy Delivery Systems Cybersecurity



Coordination with Other Federal Cybersecurity R&D Programs



- Primary mechanism for U.S. Government, unclassified Networking and IT R&D (NITRD) coordination
- Supports Networking and Information Technology policy making in the White House Office of Science and Technology Policy (OSTP)



CEDS Encourages R&D Collaboration

National Labs

- Argonne National Laboratory
- Idaho National Laboratory
- Oak Ridge National Laboratory
- Los Alamos National Laboratory
- Lawrence Berkeley National Laboratory
- Lawrence
 Livermore National
 Laboratory
- Pacific Northwest National Laboratory
- Sandia National Laboratories

Asset Owners/Operators

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- Burbank Water and Power
- CenterPoint Energy
- Dominion
- Duke Energy
- Electric Reliability Council of Texas
- Entergy
- Idaho Falls Power
- National Rural Electric Cooperative Association
- Pacific Gas & Electric
- Peak RC
- PJM Interconnection
- Sacramento Municipal Utilities District
- San Diego Gas and Electric
- Southern California Edison
- TVA
- Virgin Islands Water and Power Authority

Solution Providers

- ABB
- Alstom Grid
- Applied Communication Services
- Cigital, Inc.
- EPRI
- Foxguard Solutions
- GE
- Grid Protection Alliance
- Honeywell
- ID Quantique
- NexDefense
- OSIsoft
- Schneider Electric
- SEL
- Siemens
- Telvent
- Utility Advisors
- Utility Integration Solutions
- ViaSat

Academia

- Carnegie Mellon
 University
- Georgia Institute of Technology
- University of Illinois
- UC Davis

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- UC Berkeley
 - University of Tennessee-Knoxville

Other

- Energy Sector
 Control Systems
 Working Group
- International Society of Automation
- NESCOR
- Open Information Security Foundation

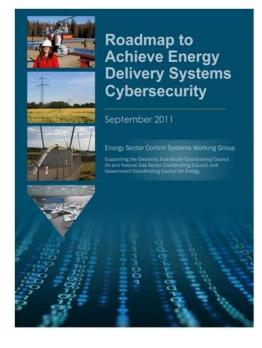
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